

2019-20 APPR Closeout

Implementation certification, data collection, and reporting

Important Dates

Please see the table below for important upcoming deadlines related to data submission and certification and the APPR Implementation Certification form.

These deadlines are the final State deadlines. Local Level 1 centers will require earlier due dates to allow sufficient time for processing. In addition to data submission, LEAs must submit the 2019-20 Statement of Confirmation of Staff Evaluation Rating Verification Report.

Date	Event	Description/Information
June 29, 2020 – August 28, 2020	APPR Implementation Certification form submission	LEAs must submit the APPR Implementation Certification form by August 28, 2020 . The form is one necessary component to demonstrate full implementation of your approved APPR plan for the 2019-20 school year and is used to determine eligibility for an increase in State aid for the 2020-21 school year for school districts only. The APPR Implementation Certification form must be signed by the superintendent/district superintendent and board president. The form is now available and must be submitted through the NYSED Application Business Portal .
July 1, 2020 – October 16, 2020 (Target Submission Date: August 21, 2020) Final Data Due: October 16, 2020)	Submission of 2019-20 Evaluation Ratings and Subcomponent Scores	LEAs will report 2019-20 staff evaluation data to the Commissioner through the Student Information Repository System (SIRS) for all applicable teachers and principals. These data must be submitted by October 16, 2020. All final and complete data, including scores for the Required and Optional (as applicable) Student Performance subcomponents, scores for the Required and Optional (as applicable) Teacher Observation/Principal School Visit subcomponents and the Overall rating. Complete 2019-20 Staff Evaluation Rating data must be submitted by October 16, 2020 for a district to be eligible for their increase in State aid.
August 21, 2020 (Final Data Due: October 16, 2020)	Target submission date for 2019-20 Staff Evaluation Ratings & Subcomponent Scores	Because State-provided growth scores are not required for 2019-20 staff evaluation, LEAs are encouraged to finalize their staff evaluation data and submit it to the Department as soon as possible. The final due date is October 16, 2020.
September 1, 2020	APPR evaluation results from the 2019-20 school year must be provided to all educators and must be reported for all educators subject to staff evaluation.	2019-20 APPR evaluations must be completed and provided to all applicable teachers and principals <u>as soon as practicable, but in no case later than September 1, 2020</u> .

October 16, 2020	Final submission of 2019-20 Evaluation Ratings and Subcomponent Scores	All 2019-20 staff evaluation data must be reported through the Student Information Repository System (SIRS). <i>Please note: Regional Information Centers may have an earlier deadline in place to facilitate the Department's October 16, 2020 deadline.</i>
October 23, 2020	2019-20 Statement of Confirmation of Staff Evaluation Implementation and Rating Verification Report(s) due	This form certifies the accuracy of the Staff Evaluation Rating data submitted as of the October 16, 2020 deadline. This form is required to be signed by the LEA's certifying official who verifies the data provided in the Staff Evaluation Rating Verification Report(s) generated by L2RPT. The certification form will be available and must be submitted through NYSED Application Business Portal , in the same location as the APPR form.

2019-20 APPR Implementation Certification Form

To demonstrate that each LEA has fully implemented their approved APPR plan for the 2019-20 school year, the Department requires that an Implementation Certification form be completed by **August 28, 2020** by the superintendent and board president. The Implementation Certification form is now available and must be submitted through the [NYSED Application Business Portal](#). After logging on, please click on "SED Monitoring and Vendor Performance System," select "View" for the "APPR Implementation Certification," and follow the instructions on the page to submit the form. School districts must complete this form to be eligible for an increase in State aid in 2020-21. The Commissioner must approve documentation that a school district has fully implemented the standards and procedures for conducting APPRs in accordance with Education Law §3012-d and Subpart 30-3 of the Rules of the Board of Regents.

Data Collection and Reporting

Reporting Requirements Related to Education Law §3012-d

All LEAs are required to submit 2019-20 school year data to SIRS that include staff evaluation ratings used for APPR. Please share this information with personnel in your organization who have responsibility for data collection and reporting.

For APPR plans under Education Law §3012-d approved prior to 2020, scores in each subcomponent and an overall rating must be reported for all educators subject to evaluation under Education Law §3012-d. Original SLOs must be the basis of the required student performance subcomponent for all educators whose evaluation measures per the LEA's approved APPR plan DO NOT incorporate the results of the grades 3-8 ELA/Math State assessments and/or State-provided growth scores. Alternate SLOs must be the basis of the required student performance subcomponent for all educators whose original evaluation measures per the LEA's approved APPR plan incorporate the results of the grades 3-8 ELA/Math State assessments and/or State-provided growth scores¹. *Please note, for educators who have required a transition evaluation in prior years, this evaluation will now replace the original evaluation and so the original, advisory evaluations no longer need to be provided.*

For APPR plans under Education Law §3012-d approved prior to January 1, 2020 with an accompanying approved supplemental Removal of aimsweb form, scores in each subcomponent and an overall rating must be

¹ In LEAs where the optional student performance subcomponent is used with a supplemental assessment, these educators do not require an alternate SLO; the basis of the student performance category is the optional measure.

reported for all educators subject to evaluation under Education Law §3012-d. Original SLOs must be the basis of the required student performance subcomponent for all educators whose evaluation measures per the LEA’s approved APPR plan DO NOT incorporate the results of the grades 3-8 ELA/Math State assessments and/or State-provided growth scores. Alternate SLOs must be the basis of the required student performance subcomponent for all educators whose original evaluation measures per the LEA’s approved APPR plan incorporate the results of the grades 3-8 ELA/Math State assessments and/or State-provided growth scores. The measures and assessments included in the approved Removal of aimsweb supplemental form shall replace original or alternate SLOs, as applicable. *Please note, for educators who have required a transition evaluation in prior years, this evaluation will now replace the original evaluation and so the original, advisory evaluations no longer need to be provided.*

For APPR plans under Education Law §3012-d, as amended in 2019, scores in each subcomponent and an overall rating based on the contents of the approved APPR plan must be reported for all educators subject to evaluation under Education Law §3012-d.

The table below summarizes the data elements that are required to be submitted for staff evaluation under Education Law §3012-d via the Staff Evaluation Rating Template for the 2019-20 school year, exported from your local data systems for each teacher and principal.

APPR PLANS APPROVED UNDER EDUCATION LAW §3012-D PRIOR TO 2020	
Evaluation Category	Description
Student Performance Category	<p>Required Student Performance subcomponent scores reported as a whole number from 0-20, using the following code: REQSP.</p> <p><i>If the evaluation measures per the LEA’s approved APPR plan DO NOT incorporate the results of the grades 3-8 ELA/Math State assessments, State-provided growth scores, and/or the aimsweb assessment, these measures are the basis of the required student performance subcomponent score.</i></p> <p><i>If the evaluation measures per the LEA’s approved APPR plan DO NOT incorporate the results of the grades 3-8 ELA/Math State assessments and/or State-provided growth scores, but DO incorporate the aimsweb assessment, the measures included in the Removal of aimsweb supplemental form are the basis of the required student performance subcomponent score.</i></p> <p><i>If the original evaluation measures per the LEA’s approved APPR plan incorporate the results of the grades 3-8 ELA/Math State assessments and/or State-provided growth scores, Alternate SLOs are the basis of the required student performance subcomponent score.</i></p> <p>Optional Student Performance subcomponent scores, as applicable, reported as a whole number from 0-20, using the following code: OPTSP.</p> <p><i>Please note, any optional student performance subcomponent based on a State-provided growth score should be excluded from an educator’s staff evaluation.</i></p>
Teacher Observation/ Principal School Visit Category	<p>Required Teacher Observation/Principal School Visit subcomponent scores reported as a number from 1.00-4.00 or 0.00, using the following code: REQOB.</p> <p>Optional Teacher Observation/Principal School Visit subcomponent scores, as applicable, reported as a number from 1.00-4.00, using the following code: OPTOB.</p>
Overall Evaluation Rating Category	<p>Overall ratings reported using the following codes: OCR04 = highly effective, OCR03 = effective, OCR02 = developing, OCR01 = ineffective based on the matrix prescribed in Education Law §3012-d(5).</p>

APPR PLANS APPROVED UNDER EDUCATION LAW §3012-D AS AMENDED IN 2019	
Evaluation Category	Description
Student Performance Category	Required Student Performance subcomponent scores reported as a whole number from 0-20, using the following code: REQSP. Optional Student Performance subcomponent scores, as applicable, reported as a whole number from 0-20, using the following code: OPTSP.
Teacher Observation/Principal School Visit Category	Required Teacher Observation/Principal School Visit subcomponent scores reported as a number from 1.00-4.00 or 0.00, using the following code: REQOB. Optional Teacher Observation/Principal School Visit subcomponent scores, as applicable, reported as a number from 1.00-4.00, using the following code: OPTOB.
Overall Evaluation Rating Category	Overall ratings reported using the following codes: OCR04 = highly effective, OCR03 = effective, OCR02 = developing, OCR01 = ineffective based on the matrix prescribed by Education Law §3012-d(5).

APPR Summaries for LEAs

To assist LEAs with the calculation, distribution, and data submission of staff evaluation scores and ratings, the Office of Educator Quality and Professional Development has prepared **updated** APPR summaries that reflect the new reporting requirements for 2019-20 staff evaluation data, which will be distributed through the [IRS Portal](#) to all LEAs with approved APPR plans for the 2019-20 school year. Regional Information Centers will also receive an updated APPR summary for all LEAs in their area. This APPR summary provides information from the approved APPR plan on measures and assessments, HEDI scoring ranges for observations and principal school visits, and subcomponent weighting, as applicable. The APPR summary is broken into groups of educators based on the selected measures. Each summary also provides a description of the items that should be distributed to educators as their final staff evaluation and those that should be submitted to the Department for the October 16, 2020 staff evaluation data submission deadline. Detailed information and examples of the APPR summaries can be found on the [Resources for Staff Evaluation Data Collection and Submission](#) page. Questions regarding the APPR summaries can be directed to EducatorEval@nysed.gov.

Additional Questions and Information

If you have any questions about the submission of data to SIRS, please contact your [RIC or Big 5 City School District data center](#) or the [Office of Information and Reporting Services](#).

If you have any questions or require technical assistance with your APPR plan, please contact EducatorEval@nysed.gov. Please also see the posted [resources related to Education Law §3012-d](#) for further information on evaluation ratings resulting from APPR plans approved pursuant to Education Law §3012-d. [Resources for Staff Evaluation Data Collection and Submission](#) are also posted on the NYSED website.